

BIOTRANS- FORMATION OF OILS AND FATS: A REVIEW

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Biotechnology is not something new. Since prehistoric times, humans have exploited microorganisms for their own use. By trial and error, they have developed the production of alcoholic beverages and food without knowing that microbes were the responsible agent. With the discovery of the existence of microorganisms, and the subsequent development of culture methods, came the birth of modern biological technology or in short, biotechnology (Steele et al., 1991).

The term biotechnology has a very broad meaning. It could mean genetic manipulation of mammalian, plant or microbial cells to the use of microorganisms to aid a process. One of the aspects of biotechnology is biotransformation. Biotransformation could be defined as the use of biocatalyst to convert a raw material into a value-added product. The choice of biocatalyst is between isolated enzymes or microbial whole cells. In cases where the biotransformation is a one-step reaction and non-cofactor requiring enzyme is available, then an isolated enzyme often immobilized onto a support is generally the most efficient biocatalyst. An excellent example is the use of lipases for ester synthesis (Eigtved et al., 1988; Lazar et al., 1986 & Staal, 1991). In other instances where the biotransformation is a

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relatively complex multistep reaction, especially when these enzymatic steps require cofactors, then the only possible approach is to employ a living biocatalyst, usually genetically modified microbial cells (Casey and Macrae, 1992).

One of the main advantages of biotransformations is their well-known enantioselectivity. Although chemical reactions with optically active catalysts or auxiliaries could be used successfully, enzymatic reactions are often more economical (Kieslich, 1992).

Cheap raw materials such as glucose, complex carbohydrates like starch, molasses or even waste waters are among the favourite substrates for biotransformation (Buhler and Wandrey, 1992). However a number of interesting novel value-added products can also be derived from oils and fats. These products may find new industrial applications.

PRODUCTION OF OLEOCHEMICALS

Oleochemicals are chemicals that can be derived from renewable resources such as vegetable oils and animal tallow. The oleochemical industry is centred around the chemical modification of natural triglycerides from plants or animals to give products having a wide range of applications. The majority of these chemical modifications are performed at the carboxylic group (approx. 96%) with others at the mid-chain unsaturation centres of the fatty acid moieties (Casey and Macrae, 1992;

Wandrey, 1990 & Zoebelien, 1992). These reactions could be catalyzed by a chemical catalyst or biocatalyst.

Enzymatic reactions at the carboxylic end have been extensively studied by a number of researchers. Examples of reactions at the carboxylic end of free fatty acids are esterification, amination and reduction while in the case of oils and fats, hydrolysis and interesterification. These reactions are usually catalyzed by immobilized enzymes.

Reactions at the hydrocarbon chain are usually more difficult to effect and require co-factors. Therefore microbial whole cells are usually employed to bring about transformations in the hydrocarbon chain. In this paper we would like to focus on those types of reactions that use oils and fats or their derivatives as raw materials. *Figure 1* shows the possible types of reactions that could be catalyzed by a biocatalyst (Werdelmann and Schmid, 1982).

DESATURATION OF FATTY ACIDS

Desaturation of a saturated fatty acid or its derivatives may mean an addition of an important functionality to the oils especially palm and coconut oils which contain quite a high percentage of saturated fatty acids. Palm oil consists of 50% of saturated fatty acids in its fatty acid composition while coconut oil contains 90%. Desaturation *via* chemical method is often difficult and non-stereospecific. Therefore desaturation through biotechnological method seemed to be the next possible alternative. A number of microorganisms that demonstrated desaturation activities is discussed in this paper.

Yeast has been reported to be able to desaturate saturated fatty acids. An oleaginous yeast *Rhodotorula glutinis* IIP-30 isolated from hydrocarbon-contaminated soil was grown on coconut and groundnut oils. It was found to be able to increase the unsaturated fatty acid composition in coconut oil from 9.5% to 68.2%. However, the fatty acid compositions of groundnut oil was not altered

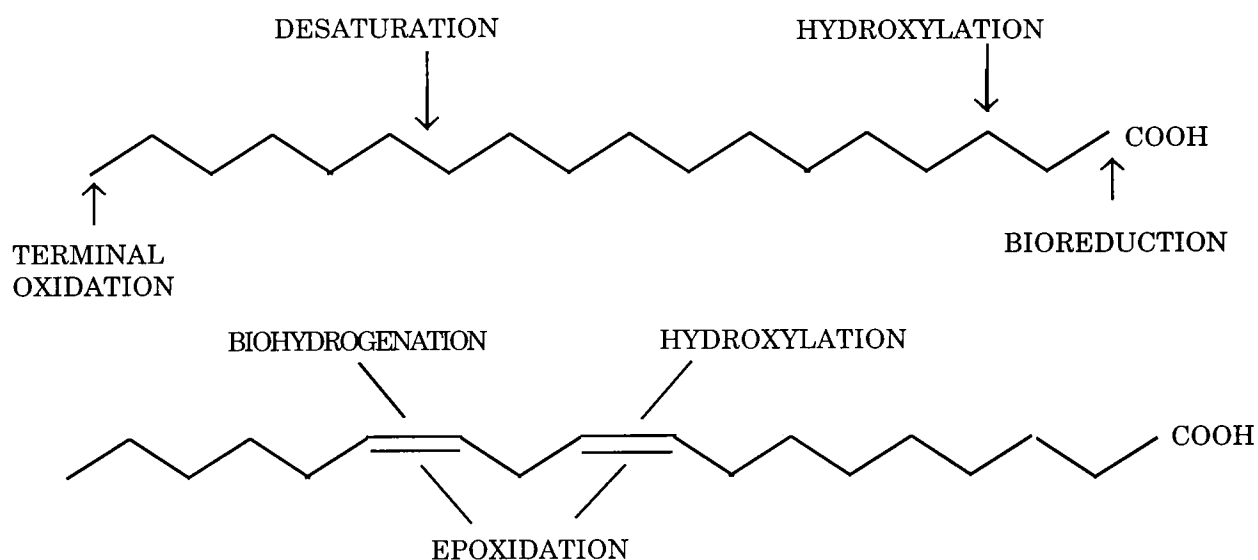


Figure 1. Biotransformations of fatty acids. (Note that the reaction types are not all limited to the positions indicated.)

(Von Johnson *et al.*, 1992).

Another yeast, *Candida lipolytica* YB 423-12 was grown on rapeseed oil, soapstock and palm stearin. The microorganism greatly absorbed the fatty acids and modified their compositions. In all the cases, the unsaturated fatty acid content of the cell oils was greater than that of the substrates. The increases were respectively 5% for rapeseed oil, 9.4% for soapstock and 22.8% for palm oil stearin (Montet *et al.*, 1985).

Another group of unsaturated acid-producing microorganism is bacteria. *Rhodococcus* sp. KSM-B-3M was isolated by a Japanese group. The microorganism was cultured in medium containing a saturated fatty acid or its derivative. Table 1 shows the results of some of the production of unsaturated fatty esters (Kimura *et al.*, 1991).

Rhodococcus sp. KSM-B-MT66, a mutant strain obtained by treatment of *Rhodococcus*

TABLE 1. PRODUCTION OF UNSATURATED FATTY ACID OR DERIVATIVES BY *RHODOCOCCUS* SP.

Substrate ^a	Product	Amount (g/L)
Sodium palmitate	cis-Hexadecenoic acid	0.03
Methyl palmitate	Methyl cis-hexadecenoate	0.07
Propyl palmitate	Propyl cis-hexadecenoate	2.10
Isopropyl palmitate	Isopropyl-cis-hexadecenoate	8.10

^a Amount of substrate was 1 ml/L of culture broth.

sp. KSM-B-3, was able to *cis*-desaturate a variety of long chain alkanes, haloalkanes and acyl fatty acids at the central position of the chain. Hexadecane was desaturated to *cis*-7-hexadecene, chloroalkanes were converted to *n*-chloro-*cis*-9-alkenes, acyl hexadecanoates were converted to acyl-*cis*-6-hexadecenoates (Takeuchi *et al.*, 1990).

Gamma-linolenic acid (GLA; C18:3 γ) is an unsaturated fatty acid of the ω -6 series. It is used for cosmetic and pharmaceutical applications. GLA can be derived from plant sources. Some of the GLA-producing plants are evening primrose (8% GLA), blackcurrant (17% GLA), gooseberry (11% GLA) and borage (23% GLA). GLA can also be derived from unicellular microorganisms. For example, the production of GLA by *Mucor javanicus* has been commercialized. The fungal oil contained 11% of GLA (Ratledge, 1987). Another *Mucor* strain, *Mucor circillenioides* CBS 172-27 was able to accumulate GLA at a higher percentage, 17.4% GLA in its mycelium when cultured in a linoleic acid-rich medium like sunflower oil that contained 65.5% of C18:2 (Aggelis *et al.*, 1992).

Polyunsaturated fatty acids (PUFAs) such as arachidonic acid (ARA) and eicosapentaenoic acid (EPA) are used in the human body for the biosynthesis of eicosanoid hormones *viz* prostaglandins, thromboxanes and leukotrienes. To date the major source of PUFAs has been fish oil (Radwan, 1991).

Within the past decade, much work has been done to look into the possibilities of producing PUFAs from microbial sources. *Mortierella* sp. was reported to be one of the potent producers of PUFAs. *Mortierella alpina* 1S-4 grown in the presence of linolenic acid-rich medium like linseed oil has been found to be able to accumulate EPA (5,8,11,14,17-*cis*-eicosapentaenoic acid; C20:5 ω 3) up to 1.88 mg/ml of culture broth or 66.6 mg/g of dry mycelia (Shimizu *et al.*, 1989 & 1989a). Mutants of *Mortierella alpina* 1S-4, which was obtained by treating the wild type with *N*-methyl-*N'*-nitro-*N*-nitrosoguanidine were found to be

desaturase defective at certain positions and gave different types of PUFAs. Mut44 was found to have low Δ -5 desaturase activities. It accumulated a high level of dihomono- γ -linolenic acid (DGLA, 8,11,14-*cis*-eicosatrienoic acid; C20:3 ω 6) at 28.6% w/w in its mycelia but a low level of arachidonic acid (5,8,11,14-*cis*-eicosatetraenoic acid; C20:4 ω 6) (10.6%) compared to wild type, which had levels of 6.3% and 47%, respectively. Mut48, on the other hand was found to be Δ -12 desaturase defective. Therefore the accumulation of fatty acid in the ω -6 series was not detected. Instead some of the ω -9 series fatty acids such as Mead acid (5,8,11-*cis*-eicosatrienoic acid; C20:3 ω 9), 6,9-*cis*-octadecadienoic acid (C18:2 ω 9) and 8,11-*cis*-eicosadienoic acid (C20:2 ω 9) were detected in its mycelia (Jareonkitmongkol *et al.*, 1992a). The accumulation of Mead acid by this particular fungal source is exceptionally high. Under optimum conditions, the fungus produced *ca.* 0.8 g of MEAD acid per litre of culture broth which is equivalent to 15% w/w of the total mycelial fatty acids. An addition of 2% (v/v) of vegetable oils like coconut, olive and camellia seemed to increase the production of Mead acid to about three times of that without the oil supplement (Jareonkitmongkol *et al.*, 1992).

KETONES

Some microorganisms have shown the ability to convert triglycerides or their fatty acids into ketones. These microorganisms were found to be able to accumulate 2-ketones (also known as methyl alkyl ketones), which have one carbon atom less than their substrates in the culture medium. So far the accumulation of 2-ketones having more than 15 carbon atoms has not been detected. 2-Alcohols and hydroxy ketones were sometimes detected as minor by-products.

Table 2 shows the accumulation of 2-ketones by various microorganisms (Kranz *et al.*, 1992; Yagi *et al.*, 1989; 1991 & 1991a).

TABLE 2 ACCUMULATION OF 2-KETONES BY VARIOUS MICROORGANISMS

Microorganism	Substrate	Product	Yield ^d	Reference
<i>Trichoderma</i> sp. SM-30	Tricaproin ^a Tricaprylin ^a Tricaprin ^a Palm kernel oil ^a	2-Pentanone	60	Yagi <i>et al.</i> , 1989
		2-Heptanone	100	
		2-Nonanone	200	
		2-Heptanone	4	
		2-Nonanone	5	
		2-Undecanone	29	
		2-Tridecanone	1	
<i>Penicillium</i> <i>decumbens</i> IFO 7091	Palm kernel oil ^b	2-Heptanone	225	Yagi <i>et al.</i> , 1990
		2-Nonanone	248	
		2-Undercanone	1556	
		2-Tridecanone	25	
<i>Aureobasidium</i> sp. SM-25	Tricaproin ^a Tricaprylin ^a Tricaprin ^a Palm kernel oil ^a	2-Pentanone	160	Yagi <i>et al.</i> , 1991
		2-Heptanone	2.3	
		2-Nonanone	0.9	
		2-Heptanone	2.4	
		2-Nonanone	2.2	
		2-Undecanone	6.8	
<i>Fusarium</i> <i>avenaceum</i> f. sp. <i>fabae</i> IFO 7158	Tricaproin ^a Tricaprylin ^a Tricaprin ^a	2-Pentanone	10	Yagi <i>et al.</i> , 1991 ^a
		2-Heptanone	102	
		2-Nonanone	106	
<i>Monascus</i> <i>purpureus</i> DSM 1379	Caproic acid ^c Caprylic acid ^c Capric acid ^c Lauric acid ^c	2-Pentanone	100	Kranz <i>et al.</i> , 1992
		2-Heptanone	80	
		2-Nonanone	47	
		2-Undecanone	5	

^aCarbon source 1g/100ml.^bCarbon source 10g/100ml.^cSubstrate concentration 1.5 mM.^dYield in mg/100ml except for *M. purpureus*, where the formation of methyl ketone in percent relative to the formation of 2-pentanone which is regarded as 100%.

HYDROXY FATTY ACIDS

Chemical treatment of oleic acid with sulphuric acid and subsequent hydrolysis leads to mixtures of 9- and 10-hydroxystearic acids. Such hydroxystearic acids and the corresponding keto-fatty acids are useful as lubricants; as surfactants; as plasticizer; as components in detergent; in coating and paint industries and in the synthesis of resins (El-Sharkawy *et al.*, 1992). Presently the only commercial source of hydroxy fatty acids is ricinoleic acid from castor oil.

Microbiological conversion of oleic acid to hydroxy fatty acid by the Pseudomonads was reported in the 60s (Schroepfer, 1965 & 1966; Wallen *et al.*, 1962). The conversion of oleic acid to 10-hydroxystearic acid (10-HSA) was observed by Wallen *et al.* to reach a 14% yield (Wallen *et al.*, 1962). 10-HSA produced by *Pseudomonas* sp. was found to be stereospecific, the hydroxy group having the *D*-configuration (Schroepfer, 1965 & 1966). *Corynebacterium* sp. S-401, which was reported to be able to catalyze the hydration of squalene, also converted oleic acid to 10-ketostearic acid¹ (10-KSA) and 10-HSA with 22.4 and 9.1% yields, respectively (Seo *et al.*, 1981). These findings indicate the possibility of producing hydroxy fatty acid, which fetches a premium price compared to oleic acid, *via* microbiological processes. Oleic acid is abundant in most vegetable oils such as olive, rape seed and palm oils.

In 1986, a patent was granted to Litchfield and Pierce for the discovery of the conversion of unsaturated fatty acids by *Rhodococcus rhodochrous* ATCC 12674 to hydroxy- and keto-fatty acids. *R. rhodochrous* is also known as *Norcadia aurantia*. Two types of unsaturated fatty acids were used as substrates. They were oleic and linoleic acids. When oleic acid was used as substrate, 55.1% of 10-HSA was produced and when linoleic acid was used as substrate, 22.2% of 10-hydroxy-12-octadecenoic acid was produced. Together with the hydroxy fatty acids, keto-acids were also produced. They were 10-KSA from oleic acid and 10-keto-12-octadecenoic acids from linolenic acid (Litchfield

and Pierce, 1986).

Another microbial isolates, *Norcadia cholesterolicum* NRRL 5767 was reported to be able to produce similar types of hydroxy fatty acids when cultured on unsaturated fatty acids. Resting cell suspensions of *N. cholesterolicum* converted oleic acid to 10-HSA, linoleic acid to 10-hydroxy-12-octadecenoic acid and linolenic acid to 10-hydroxy-12,15-octadecadienoic acid. Under optimum culture conditions the conversions were 75-80%. Minor amounts of 10-KSA were formed as a by-product (Koritala *et al.*, 1989 & 1992). Conversion of oleic acid to 10-HSA was also observed in yeasts. Resting cells of *Saccharomyces cerevisiae* converted oleic acid into 10-HSA in 45% yield (El-Sharkawy *et al.*, 1992).

In contrast, a growing culture of *Staphylococcus* sp. seemed to produce 10-KSA as the major product from oleic acid. The yield of 10-KSA achieved 90% while 10-HSA was only 5% of the 10-KSA produced (Lancer, 1993). Similarly *Flavobacterium* sp. strain DS5 (NRRL B-14859) also produced 10-KSA (85%) as the major product from oleic acid and 10-HSA as minor product (10% of 10-KSA) (Hou, 1994).

The conversion of oleic acid to 10-KSA is likely to be *via* the 10-HSA (Hou, 1994). *Figure 2* is a schematic diagram of the proposed pathway. Initial hydration of the olefinic bond of the oleic acid would afford 10-HSA, and an enzymatic dehydrogenation by alcohol dehydrogenase would afford 10-KSA. The nature of oleic acid metabolites formed during the microbial transformation reaction was highly dependent upon biotransformation conditions. When the concentration of oxygen in the culture is reduced, the formation of 10-HSA would be higher (El-Sharkawy *et al.*, 1992). Conversely, when the contribution of oxygen in the culture is increased, the formation of 10-KSA would be higher.

In the case of *Micrococcus luteus* BL0-3, a new product, 4-ketolauric acid was observed in addition to 10-HSA and 10-KSA. 4-Ketolauric was presumably produced after three cycles of β -oxidation of oleic acid or its

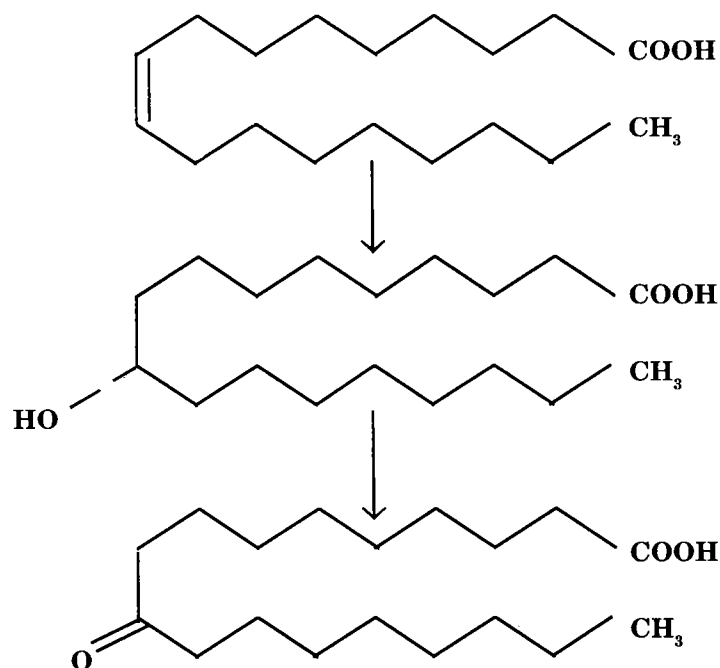


Figure 2. Proposed pathway of microbial transformation of oleic acid to 10-HSA and 10-KSA.

derivatives in the *M. luteus* cells (Esaki *et al.*, 1994). In the transformation of oleic acid and its esters by *Sarcina lutea*, 1,7-heptanedicarboxylic acid was also detected. 1,7-heptanedicarboxylic acid was probably produced from oleic acid by a fission at the double bond with subsequent oxidation of an aldehyde or other derivatives. The detailed transformation pathway is being investigated (Blank *et al.*, 1991).

The conversions of unsaturated fatty acids into dihydroxy fatty acids were also observed in other microorganisms. *Pseudomonas* sp. 42A2, when cultivated in a mineral salt medium with olive oil as a sole carbon source; produced a new surface active compound which was later identified to be dihydroxyoctadecenoic acid (Mercade *et al.*, 1988). The bioconversion of another *Pseudomonas* sp. afforded 7,10-dihydroxy-8(*E*)-octadecenoic acid (DOD) from oleic acid. The maximal yield of DOD was 72%

(Hou *et al.*, 1991; Hou and Bagby, 1991). The bacterium was later identified as *P. aeruginosa* and has been deposited in the ARS Culture Collection as *P. aeruginosa* NRRL B-18602 (Hou *et al.*, 1993)

ω -HYDROXY FATTY ACIDS

Cell-free preparations of *Bacillus megaterium* in the presence of NADPH and oxygen, catalyzed the hydroxylation of saturated long-chain fatty acids (Miura and Fulco, 1974), fatty amides and fatty alcohols to a mixture of ω -2, ω -3 and ω -4 monohydroxy² isomers.

Several monounsaturated fatty acids were also tested as substrates. They were *cis*-9-hexadecenoate, *cis*-9-octadecenoate and *cis*-12-octadecenoate (Miura and Fulco, 1975). The distribution of the three monohydroxy isomeric products varied from one substrate to another but generally hydroxylation at the ω -3 position

was favoured (Miura and Fulco, 1974). For example, when palmitic acid was used as a substrate, the major products were 15- (30%; ω -2), 14- (50%; ω -3) and 13- (20%; ω -4) hydroxypalmitates.

When palmitoleic acid was used as substrate, two other products; 9,10-epoxypalmitate and 9,10-dihydroxypalmitate were also identified as reaction products in addition to the expected isomeric mixture of monohydroxypalmitates (Buchanan and Fulco, 1978). It was later found that epoxidation and hydroxylation were catalyzed by the same cytochrome P-450 monooxygenase complex (Ruettiger and Fulco, 1981).

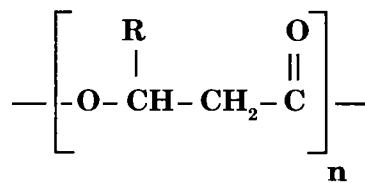
Another *Bacillus* strain, *Bacillus pumilus*, also showed hydroxylation capability. Two of the *B. pumilus* strains, BD-174 and BD-226 hydroxylated oleic acid to produce 15-, 16- and 17-hydroxy-9-octadecenoic acids (Lancer *et al.*, 1992).

MICROBIAL POLYMERS

Many bacteria are able to accumulate intracellular reserve materials varying from internal *n*-alkane pools to polyphosphate. Most common is poly-3-hydroxybutyric acid or poly-3-hydroxybutanoate (PHB). A large amount of PHB is accumulated when cells are grown under nitrogen limiting conditions and in the presence of excess carbon source (Huisman *et al.*, 1991; Lageveen *et al.*, 1988). The accumulation of PHB may amount to 80% of the cell dry weight (Lageveen *et al.*, 1988). PHB possesses the properties of a biodegradable thermoplastic. The first industrial production of PHB (poly-3-hydroxybutanoate) and PHA (poly-3-hydroxyalkanoate) did not happen until 1982 when ICI marketed them under the trade name Biopol (Anderson and Dawes, 1990).

PHB can be used to manufacture bottles and films like conventional oil-based thermoplastic. Besides applications as packaging material, it can also be used as surgical sutures, as a biodegradable matrix for drug release or for the manufacture of devices for bone replacement (Valentin *et al.*, 1992).

Most of the known polyhydroxyalkanoates are polymers of 3-hydroxyacids having the



R = H
 = alkyl or alkene group
 = branched alkyl or alkene group
 n = 4 000 to 10 000

Figure 3. General formula of poly-3-hydroxyalkanoates or poly-3 hydroxyalkenoates.

following general formula (Figure 3).

The bulk of the published research on PHAs and PHB has been concentrated on two bacteria, *Alcaligenes eutrophus* and *Pseudomonas oleovorans*. Other bacteria that also produce PHAs are *Rhodospirillum rubum*, *Halobacterium mediterranei*, *Rhodococcus* sp., *Corynebacterium* sp., *Nocardia* sp. etc. The accumulation of polyesters could be in the form of homopolymer or co-polymer (Anderson and Dawes, 1990).

Two types of polyesters that are commonly synthesized are short-chain-length PHAs (SCL-PHAs) and medium-chain-length PHAs (MCL-PHAs). SCL-PHAs are made up of monomer units of 3 to 5 carbon atoms while MCL PHAs are made up of monomer units of 6-14 carbon atoms. *Alcaligenes eutrophus*, *Rhodospirillum rubum* and *Pseudomonas pseudoflava* are known to accumulate polyesters composed of SCL monomer units only while *Pseudomonas putida* and other fluorescent *Pseudomonas* strains principally MCL PHAs (Choi and Yoon, 1994). For example, *Alcaligenes* sp. when grown on a wide range of substrates such as *n*-alkanoic acids from C₂ to C₂₂, plant oils and animal fats accumulated only 3-HB and 3-HV (3-hydroxyvalerate) (Haywood *et al.*, 1989). On the other hand, fluorescent *Pseudomonads* such as *Pseudomonas aeruginosa*, *P. putida* and *P. fluorescens* accumulated only MCL-PHAs with 6-12 carbon atoms when grown on *n*-alkanoic acid (C₄-C₁₈) and unsaturated fatty acids (Huisman *et al.*, 1989).

CONCLUSIONS

Many microorganisms have shown their abilities to produce novel fatty acids that may find new industrial applications or higher value-added fatty acids. Eventhough some of these microorganisms gave very promising yields of such fatty acids and the production of such microbial oils has reached pilot scale, the successful commercialization of these processes would need further development work. The future prospects for microbial oils might lie in three possible areas: (1) as substitutes for the existing high value plant oils, (2) as novel materials that are not available from other sources, and (3) as a saleable end-product from waste processing (Ratledge and Boulton, 1985). The success would also depend very much on the collaboration between microbiologists, chemists, genetic engineers and biochemical engineers. First the microbiologists would screen for possible new strains. Then the chemists would help to identify potentially useful material. Once the strain and the product have been identified, the genetic engineers would improve on the strain to increase the yield of the desired product. Lastly the biochemical engineer would design the bioreactor to give the maximal yield.

NOTES

¹According to IUPAC nomenclature, it is also known as 10-oxo-stearic acid.

²In the original publication, the author defined the terminal methyl carbon as ω -carbon and the methylene carbon adjacent to the methyl group as ω -1 and the subsequent carbons as ω -2 and ω -3. However, we would like to follow Christie (1982) and name terminal methylene carbon as ω -1 and the subsequent carbons as ω -2 and ω -3 and so on.

ABBREVIATIONS:

GLA	=	<i>gamma</i> linolenic acid
PUFA	=	polyunsaturated fatty acid
ARA	=	arachidonic acid
EPA	=	eicosapentaenoic acid
HSA	=	hydroxystearic acid
KSA	=	ketostearic acid
PHB	=	polyhydroxybutanoate
PHA	=	polyhydroxyalkanoate
SCL	=	short chain length
MCL	=	medium chain length
HB	=	hydroxybutanoate
HV	=	hydroxyvalerate

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