

PROCESS OPTIMISATION OF 1,3-PROPANEDIOL PRODUCTION BY *Klebsiella pneumoniae* STRAIN

STASHA ELEANOR ROSLAND ABEL* and SOH KHEANG LOH*

ABSTRACT

The 1,3-propanediol (1,3-PD) is a versatile chemical feedstock with a wide range of applications: cosmetic, food and polymers synthesis. Although 1,3-PD is conventionally chemically synthesised, it has drawbacks such as requiring high pressure and temperature. Therefore, a more economical biological approach to produce 1,3-PD is crucial. This study attempted to investigate the effects of common fermentation parameters - temperature, pH, glycerol concentration and inoculum size on the production of 1,3-PD by *Klebsiella pneumoniae* via one-factor-at-a-time (OFAT) method. The optimised conditions: temperature, 34°C; pH, 7.5; glycerol concentration, 30 g litre⁻¹ and inoculum size, 20% (v/v) showed 1.78-fold increase in 1,3-PD production, i.e. from the initial 4.89 g litre⁻¹ to 8.70 g litre⁻¹ in shake flask experiment after 48 hr. The 1,3-PD productivity by *K. pneumoniae* was higher (0.18 g litre⁻¹ hr⁻¹) from the unoptimised conditions (0.07 g litre⁻¹ hr⁻¹). The other parameter studied - varying nitrogen sources in the fermentation medium - revealed that their addition unexpectedly did not show any improvement in 1,3-PD production unlike those reported in the literature. It can be concluded that both glycerol concentration and incubation temperature were the most significant factors in producing higher 1,3-PD yield.

Keywords: 1,3-propanediol; glycerol; *Klebsiella pneumoniae*; one-factor-at-a-time method, optimisation.

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INTRODUCTION

As fossil fuel has become increasingly scarce, development of biobased materials and products for economy sustainability is crucial (Ragauskas *et al.*, 2006). Materials that are renewable and biodegradable, e.g. biodiesel could attract considerable public attention (Andrade and Vasconcelos, 2003; Xu *et al.*, 2003). Biodiesel has increasingly been considered in establishing biorefineries; the challenges faced is to handle the surplus amounts of by-product generated, i.e. crude glycerol. So far, the disposal of waste glycerol has negatively impacted the environment and is costly. Thus, it is vital to develop processes to convert glycerol into commercially viable products in sustaining the biodiesel industry. One possibility

is transforming crude glycerol into 1,3-propanediol (1,3-PD), citric acid or succinic acid as it has triglyceride-based natural substrate susceptible to microbial degradation (Pagliaro *et al.*, 2007).

The 1,3-PD, a C₃-dihydroxy monomer has gained an economic importance for being a specialty chemical from commodity bulk chemicals. It has wide applications, e.g. as food additive, raw material in pharmaceutical, cosmetic ingredient and intermediate for biocides and heterocyclic compounds synthesis (Jong *et al.*, 2011; Lee *et al.*, 2015). Nearly 90% of 1,3-PD is used in plastic industry as a monomer for polyester polytrimethylene terephthalate (PTT) synthesis. The 1,3-PD-based polyester, coil-like or zig-zag shaped, has better characteristics including better stretch ability, lower dyeing temperature, higher UV resistance and better wash-fastness property than other commercially available polyesters.

The conventional syntheses of 1,3-PD through hydration of acrolein and hydroformylation of

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ethylene oxide have several drawbacks, while alternative microbial process could be more efficient owing to its renewability, environmental-friendliness and low-cost (Dietz and Zeng, 2014; Lee *et al.*, 2015). Microbial fermentation of glycerol into 1,3-PD has been known for more than 137 years with its first production in 1881 by Freund (Zeng and Biebl, 2002). Many microbial species namely *Kluyvera* (Loh and Stasha, 2016), *Klebsiella* (Wojtusik *et al.*, 2015), *Citrobacter* (Drozdzyńska *et al.*, 2014), *Clostridium* (Johnson and Rehmann, 2016), *Lactobacillus* (Maria *et al.*, 2015) and *Shimwellia blattae* (Rodriguez *et al.*, 2015) show potential in 1,3-PD production. Among the exploited strains, *Klebsiella pneumoniae* has been extensively studied in support of its simpler metabolic pathways involved for potential improvement.

Previously, optimisation process for a higher 1,3-PD production has been performed via Response Surface Methodology (RSM) (Stasha and Loh, 2017). However, its production via simpler optimisation method is most desirable. The individual effects of various processing factors can be determined without the need of statistical tools. Hence, optimisation of 1,3-PD using *K. pneumoniae* strain was carried out via conventional one-factor-at-a-time (OFAT) approach, then compared with that optimised statistically, and the more feasible approach in 1,3-PD production will be drawn. The important culture conditions, *i.e.* temperature, pH, glycerol concentration and inoculum size on 1,3-PD production were evaluated. On top of that, the influence of varying nitrogen sources supplemented in the fermentation medium on 1,3-PD formation was investigated and their performance efficiency evaluated.

MATERIALS AND METHODS

Composition of Crude Glycerol, Fermentation Medium and Microorganism

Crude glycerol obtained from Sime Darby Biodiesel Sdn Bhd (Selangor, Malaysia) was the sole carbon source used in the medium for fermentation (Table 1). The chemicals used: K_2HPO_4 , KH_2PO_4 , $(NH_4)_2SO_4$, $MgSO_4 \cdot 7H_2O$, $MnSO_4 \cdot 4H_2O$, $ZnCl_2$,

TABLE 1. COMPOSITION OF CRUDE GLYCEROL FROM SIME DARBY BIODIESEL SDN BHD

| Component | Concentration % (w/v) |
|-----------|-----------------------|
| Glycerine | 79.3 |
| Water | 6.69 |
| Soap | 16.2 |
| Methanol | 7.3 |
| Oil | 0.97 |

$Na_2MoO_4 \cdot 2H_2O$, H_3BO_3 , $CoCl_2 \cdot 6H_2O$, $CuSO_4 \cdot 5H_2O$, $NiCl_2 \cdot 6H_2O$ and 37% HCl were purchased from Sigma-Aldrich (USA) and Merck. *K. pneumoniae* K47, purchased from Unit of Bacteriology, Institute for Medical Research (IMR), Kuala Lumpur was the strain used for fermentation of crude glycerol.

Cultivation of *Klebsiella pneumoniae*

The *K. pneumoniae* strain was maintained in a medium containing (g litre⁻¹): yeast extract, 5; peptone, 10; NaCl, 9; glycerol, 20 and agar, 20. For starter culture, the strain was grown on medium consisting of all the above components except agar. The fermentation medium of 1,3-PD consisting (g litre⁻¹): glycerol, 20; K_2HPO_4 , 0.69; KH_2PO_4 , 0.25; $(NH_4)_2SO_4$, 6; $MgSO_4 \cdot 7H_2O$, 0.2; yeast extract, 1.5 and 1 ml of trace element solution (Stasha and Loh, 2017). The cultivation was performed in 250 ml Erlenmeyer flasks each containing 100 ml of the fermentation medium.

Analytical Methods

Samples were withdrawn from the flask at every 6th, 12th, 24th, 48th and 72nd hr interval to measure optical density (OD_{600nm}), pH, glycerol and 1,3-PD concentration. The culture was centrifuged at 1431 × g for 10 min to remove cells and the supernatant was then filtered through a 0.45-µm membrane filter (Nylon) and analysed using high performance liquid chromatography (HPLC) (Waters 2707 Autosampler). The mobile phase employed was sulphuric acid (0.5 mM) at a flow rate of 1.0 ml min⁻¹. Samples were injected and metabolites were analysed at 60°C. Cell growth as reflected by OD_{600nm} was determined by a UV spectrophotometer (Genesys 20 visible spectrophotometer, Thermo Scientific) at a wavelength of 600 nm. The initial and the final pH of culture medium were analysed using a pH meter (HANNA Instruments, model 211). All experimental trials were conducted in triplicate.

Optimisation of 1,3-PD Production

Different culture conditions that may influence the yield of 1,3-PD including incubation temperature (30°C-40°C), initial medium pH (6-8), substrate concentration (10 g litre⁻¹- 50 g litre⁻¹), inoculum size (3%-20%) and nutrient supplement (nitrogen sources: urea, peptone, meat extract, yeast extract, ammonium chloride and ammonium sulfate) each at 5.0 g litre⁻¹ were evaluated for 1,3-PD production by *K. pneumoniae* in shake-flask fermentation. The effect of nitrogen sources was studied as they supplied additional nutrients which might enhance 1,3-PD production. The influence of temperatures on 1,3-PD production was carried out by varying the temperature at 30°C, 34°C, 37°C and

40°C. The flasks were inoculated with fresh cultures and incubated under 150 rpm agitation rate for 72 hr. The experiments were carried out at various pH values: 6.0, 6.5, 7.0, 7.5 and 8.0. The medium's pH was adjusted with 1 M NaOH/HCl before sterilisation. The effect of substrate concentration on 1,3-PD production was studied by varying the glycerol concentrations: 10 g litre⁻¹, 20 g litre⁻¹, 30 g litre⁻¹, 40 g litre⁻¹ and 50 g litre⁻¹. To evaluate the effect of varying inoculum size, 3%, 5%, 10%, 15% and 20% (v/v) of the culture were added to the fermentation medium.

RESULTS AND DISCUSSION

Effect of Incubation Temperature on 1,3-PD Production

Incubation temperature (30°C, 34°C, 37°C and 40°C) is a critical factor in *K. pneumoniae* growth. Figure 1 shows a remarkable change in 1,3-PD production at different incubation temperatures. At 34°C and 40°C, the strain was found to be metabolically more active with higher 1,3-PD production (8.62 g litre⁻¹ and 8.49 g litre⁻¹) after 72 hr, compared to those at 30°C and 37°C which were less active (7.51 g litre⁻¹ and 6.21 g litre⁻¹). Thus, the optimal temperature acquired was 34°C. The variations in different incubation temperatures were due to the different nature of microorganism and its environmental conditions. Zhao *et al.* (2017) performed shake-flask fermentation using a strain of *K. pneumoniae* ZH-1 and producing higher 1,3-PD yield of 19.93 g litre⁻¹ at optimum temperature of

36°C. Other studies by Sattayasamitsathit *et al.* (2011) and Guo *et al.* (2017) reported a suitable temperature at 37°C for 1,3-PD biosynthesis.

Effect of Initial pH Value on 1,3-PD Production

The pH influences metabolic activity and growth of *K. pneumoniae* in fermentation of crude glycerol. The results showed that a change in pH would alter the growth of *K. pneumoniae* and influence 1,3-PD production. A higher amount of 1,3-PD (7.31 g litre⁻¹) was produced by *K. pneumoniae* at initial pH of 7.5 followed by 6.42 g litre⁻¹ at pH 8 after 72 hr of fermentation (Figure 2a). Lower range of pH (6-7) gave lower production of 1,3-PD (3.96 g litre⁻¹ to 6.03 g litre⁻¹). The 1,3-PD production rates increased with increasing initial pH values. This agreed with the studies by Zhao *et al.* (2017) and Moscoviz *et al.* (2016) that maximum concentration of 1,3-PD was achieved at pH 7.0-8.0. As such, a slightly acidic condition had a negative effect on 1,3-PD production (Kang *et al.*, 2013). In Figure 2b, crude glycerol was rapidly consumed and its concentration depleted tremendously around 24-72 hr in all the pH levels employed.

The pH range (6.0-6.5) showed a slower rate of glycerol consumption corresponding to the above findings. The highest 1,3-PD production rate was at pH 7.5-8.0, thus indicating that slightly alkaline condition could enhance 1,3-PD yield and productivity. At the end of the fermentation (72 hr), the average final pH at all temperatures employed was 5.07±0.55 and the production of 1,3-PD reduced compared to those at higher initial pH values and shorter fermentation time. This result showed that

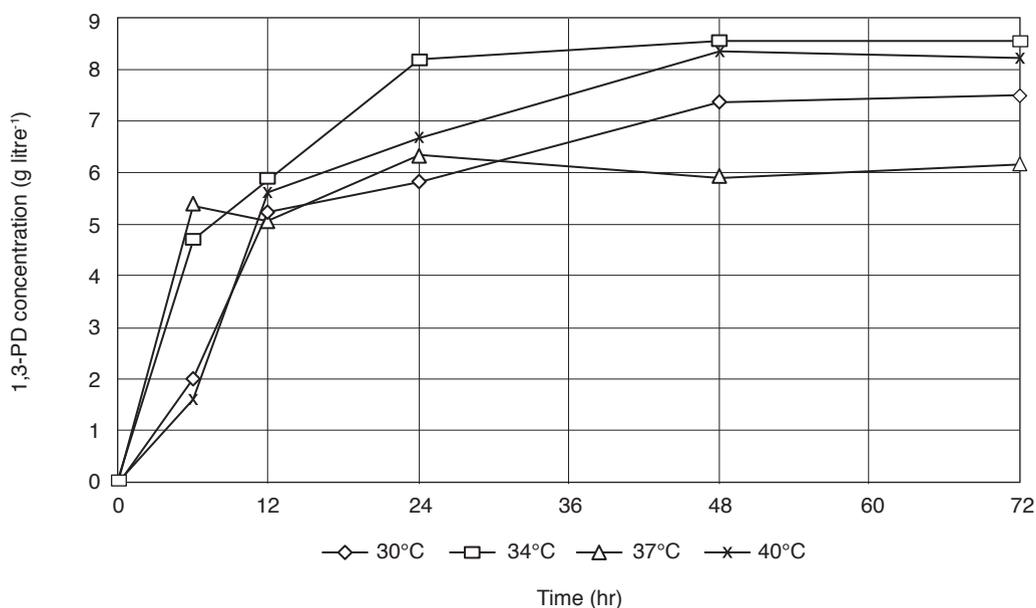


Figure 1. The 1,3-propanediol (1,3-PD) concentration by *K. pneumoniae* at different incubation temperature in shake-flask culture at 150 rpm for 72 hr.

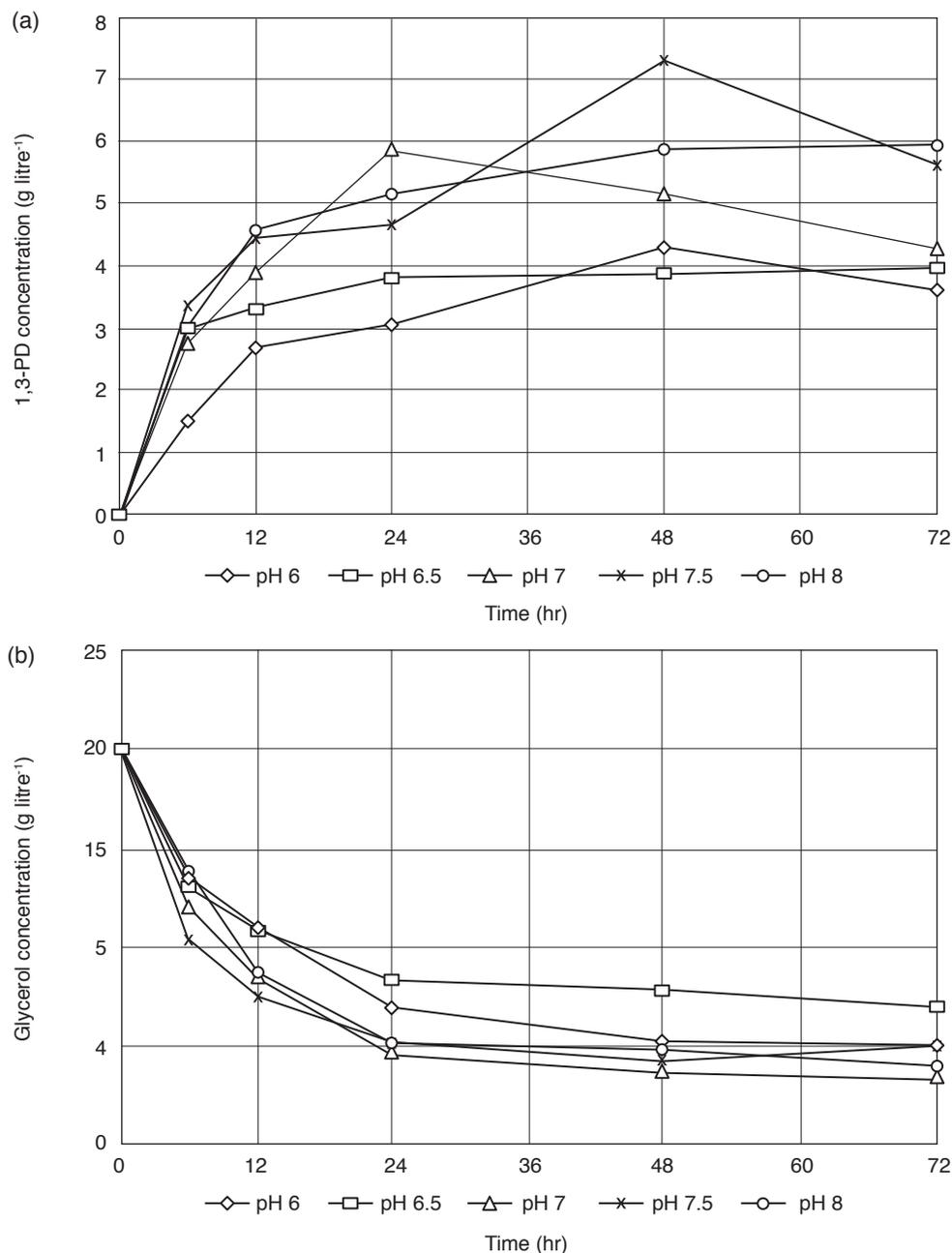


Figure 2. (a) Production of 1, 3-propanediol (1,3-PD) and (b) glycerol residual at 34°C, 150 rpm for 72 hr.

the final pH had inhibited the process probably due to the presence of organic acids which could have been synthesised during the metabolic pathway.

Effect of Substrate Concentration on 1,3-PD Production

The time course study on various concentration of glycerol (10 g litre⁻¹-50 g litre⁻¹) (Figure 3) showed the highest 1,3-PD concentration (7.32 g litre⁻¹-7.43 g litre⁻¹) and productivity of 0.152 g litre⁻¹ hr⁻¹-0.103 g litre⁻¹ hr⁻¹ was achieved at glycerol concentration of 20 g litre⁻¹ and 30 g litre⁻¹. The lowest was 4.21 g litre⁻¹ when 10 g litre⁻¹ of crude glycerol was used. Further increase in glycerol concentration to 40

g litre⁻¹ and 50 g litre⁻¹ however, had resulted in a declined production (7.28 g litre⁻¹ and 6.87 g litre⁻¹, respectively). This indicated that inhibition of cell growth of *K. pneumoniae* could occur at higher glycerol concentration (>30 g litre⁻¹), probably due to higher level of impurities such as soap, methanol and free fatty acids which could affect the microbial performance during fermentation of crude glycerol. Previous studies by Papanikolaou *et al.* (2004) and Jalasutram and Jetty (2011) have reported similar findings, where the production rate of 1,3-PD decreases with increasing glycerol concentration. Of all the concentrations employed, 30 g of crude glycerol showed optimum 1,3-PD production, *i.e.* 7.42 g litre⁻¹. Zhang *et al.* (2007) stated that the

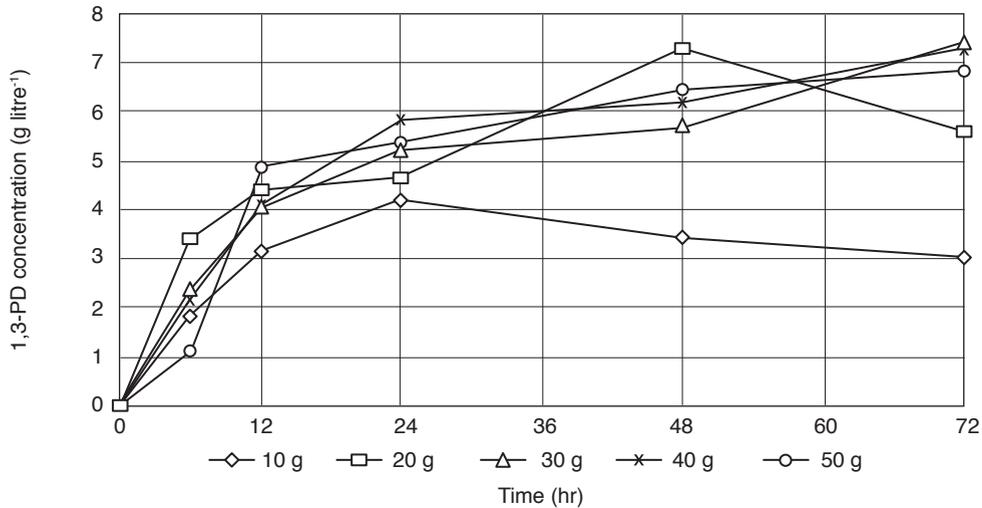


Figure 3. Time course of 1, 3-propanediol (1,3-PD) production at different initial concentration in shake-flask culture at 34°C, pH 7.5, 150 rpm for 72 hr.

formation of products during fermentation process of 1,3-PD was influenced by concentration of crude glycerol which acted as a carbon and energy source.

Effect of Different Inoculum Size on 1,3-PD Production

Microorganism could perform most efficiently if the right substrate concentration, i.e. inoculum size was provided. The amount of inoculum is vital as it can significantly influence the lag phase duration, specific growth rate, biomass yield and the quality of final product during fermentation. This study aimed to observe the relationship between the percentage of inoculum and the 1,3-PD production. Figure 4 reveals that the maximum 1,3-PD production could be achieved using 20% inoculum size of *K. pneumoniae* yielding 8.70 g litre⁻¹ 1,3-PD with productivity of 0.18 g litre⁻¹ hr⁻¹ for 72 hr. The production was very little at 3% and 10% inoculum

size, but greatly increased when 15% to 20% inoculum size was used. Increasing the inoculums size will increase the number of *K. pneumoniae* cells in the medium which will considerably affect the growth rate and 1,3-PD production. The results showed that inoculum size lower than 20% tends to reduce 1,3-PD production. However, studies by Zhao *et al.* (2017) and Guo *et al.* (2017) revealed that inoculum size of >10% triggered lower formation activity of 1,3-PD. This is probably due to glycerol utilisation for cell growth and disproportionation was reduced, hence affecting 1,3-PD yield.

Effect of Nitrogen Sources on 1,3-PD Production

The 1,3-PD production can be enhanced by nitrogen (protein) supplement which is necessary for cell metabolism and construction as it comprises 8%-14% of dry cell mass of bacteria. The growth of *K. pneumoniae* varied at same concentrations (5.0

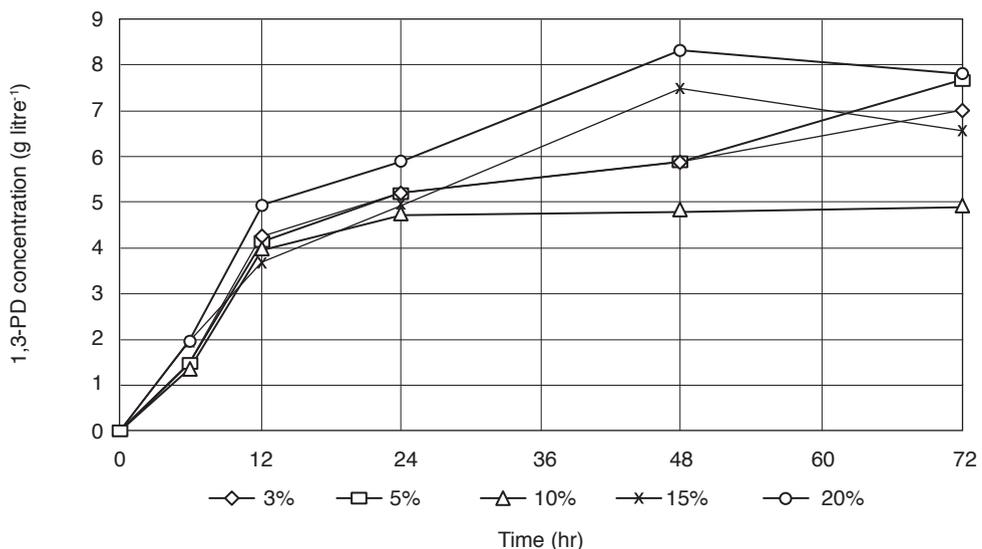


Figure 4. Effect of different inoculum size on 1, 3-propanediol (1,3-PD) production by *K. pneumoniae*.

g litre⁻¹) of different nitrogen sources used. The fermentation profile for 1,3-PD production (Figure 5a) and glycerol degradation (Figure 5b) showed that the order of optimum production of 1,3-PD under the influence of various nitrogen sources was yeast extract > meat extract > ammonium chloride > urea > ammonium sulphate > peptone. The highest 1,3-PD yields of 7.97 g litre⁻¹ and 7.10 g litre⁻¹ were obtained in media containing yeast extract followed by meat extract, respectively.

However, addition of peptone and ammonium sulphate slightly downgraded the bacteria growth, thus generated less 1,3-PD, 4.81 g litre⁻¹ and 5.46 g litre⁻¹, respectively. This occurred probably due to insufficient nutrients that could satisfy no more than the minimal requirement for growth. The amount

of 1,3-PD generated in media containing varying nitrogen sources is lower than the optimised value thus, the role of nitrogen source in improving 1,3-PD production is least significant. This result is consistent with previous study by Jalasutram *et al.* (2011).

Comparison between OFAT and RSM

Table 2 compares the results obtained via OFAT and RSM. The OFAT approach gave 29% of glycerol-to-1,3-PD conversion yielding 8.70 g litre⁻¹ from an initial 30 g litre⁻¹ within 48 h incubation time. Based on RSM, 1,3-PD produced was slightly higher (9.85 g litre⁻¹) but the conversion rate was 25% within 59 hr fermentation period utilising 39.9 g litre⁻¹ of crude

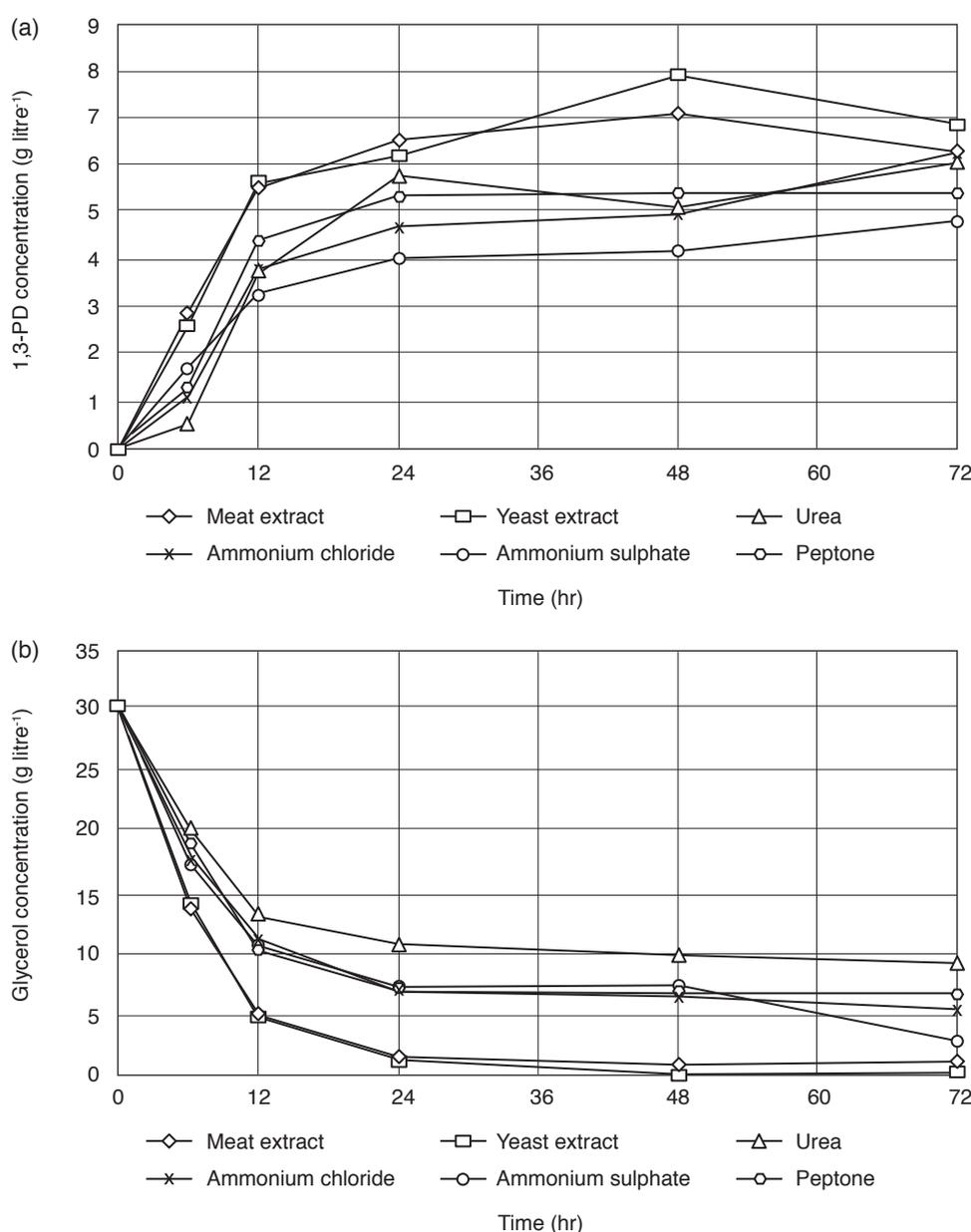


Figure 5. Screening of different nitrogen sources for production of 1, 3-propanediol (1,3-PD) by *K. pneumoniae*. (a) 1,3-PD production and (b) glycerol concentration.

TABLE 2. COMPARISON ON 1, 3-PROPANEDIOL (1,3-PD) OPTIMISATION BY *K. pneumoniae* VIA ONE-FACTOR-AT-A-TIME (OFAT) AND RESPONSE SURFACE METHODOLOGY (RSM)

| Variables | Unoptimised condition | Optimised condition | | 1,3-PD production (g litre ⁻¹) | | |
|---|-----------------------|---------------------|-------|--|--------------------|-------|
| | | OFAT* | RSM** | Before optimisation | After optimisation | |
| | | | | | OFAT* | RSM** |
| pH | 7 | 7.5 | 7.6 | - | - | - |
| Temperature (°C) | 30 | 34 | 33 | 4.89 | 8.70 | 9.85 |
| Incubation time (hr) | 48 | 48 | 59.1 | - | - | - |
| Glycerol concentration (g litre ⁻¹) | 20 | 30 | 39.9 | - | - | - |

Note: *This study.

**Stasha and Loh (2017).

glycerol. Both the OFAT and RSM optimisation employed optimal pH and temperature for *K. pneumoniae* growth in 1,3-PD production. However, the other two variables, *i.e.* incubation time and glycerol concentration optimised were much higher via RSM than OFAT; with only 13% difference in yields. Thus, the OFAT approach was more practical with higher productivity at shorter fermentation time. However, the respective yields obtained were still unsatisfactory and far from being commercially attractive, thus future fed-batch optimisation study is required.

CONCLUSION

The optimum conditions achieved (pH, 7.5; glycerol, 30 g litre⁻¹; inoculum size, 20% and temperature, 34°C) had proved the importance of culture conditions via OFAT fermentation in improving 1,3-PD production. The 1,3-PD biosynthesis by *K. pneumoniae* contributed to an enhanced 1.78-fold yield and 2.59-fold productivity, respectively compared to that of the unoptimised cultivation. Furthermore, 1,3-PD production using conventional OFAT method was 13% higher than that of RSM. The yield, however, was still not attractive and further improvement via fed-batch fermentation might be possible. Besides, the effect of varying nitrogen sources on 1,3-PD yield was less significant. The bioconversion of crude glycerol into biochemical can be an alternative solution for its undesirable disposal.

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